

1

SET GOALS

3

ACT

2

RESEARCH

4

REVIEW

The Path to Finding
THE RIGHT
Advisor for Your
Retirement Plan

1

SET GOALS

- What are our goals and objectives for our plan?
- What does our plan need assistance with?
- Why do we want a retirement plan advisor?

2

RESEARCH*

- Is our advisor commission or fee-based?
- Does our advisor act as a fiduciary?
- What is the focus at our advisor's practice?
- Is the advisor properly licensed and insured?

3

ACT

- Does our advisor conduct annual or semi-annual plan reviews?
- Does our advisor promote and encourage participation in the plan?
- Does our advisor coordinate group or 1-on-1 employee education meetings?

4

REVIEW

- Does our plan committee have a process to review all of our source plans?
- How much are we paying for this plan?
- Does our advisor hold the service provider accountable?
- Has our advisor created an investment policy statement and a process to review our plan's investment?

There's no better time than now to talk to Lawley Retirement Advisors.

855.841.1135 | lawleyretirement.com

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Visit www.finra.org & www.advisorinfo.sec.gov
for more specific details regarding retirement plan advisor selection

**SEC & FINRA offer websites that allow plan sponsors
to look up specific information about a financial advisor or firm*

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